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DCAF

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the rule of law

Annual Report 2013

**on DCAF Partnership for Peace Programmes funded by the
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Introduction

As in previous years the Geneva Centre for the Democratic Control of Armed Forces (DCAF) implemented Partnership for Peace (PfP) programmes on mandates of and funded by SIPOL DDPS. Given Switzerland's interest profile in PfP programmes focused on

- Democratic governance of the Defence and Security Sector, including stipulations of the Partnership Action Plan for Defence Institution Building (PAP-DIB)
- Democratic Oversight (especially parliamentary oversight)
- Transparency and Accountability, including Capacity Building for Civil Society and the Media
- Fighting Corruption and Building Integrity (along with programmes mandated by the NATO BI Trust Fund)
- Teaching gender to the Military, including Management of the SSR Working Group within the Consortium of Defence Academies and Security Policy Institutes.
- Supporting the NATO Committee on Gender Perspectives, the Special Representative of the Secretary General for Women, Peace and Security and other NATO services with subject matter expertise, review, contribution and participation to seminars, panels and conferences, and facilitation of activities.

I. In Cooperation with International Organisations

NATO and NATO Parliamentary Assembly

In 2013, DCAF's cooperation with the North Atlantic Treaty Organisation (NATO) focused on the areas of parliamentary oversight of the security sector, gender, and integrity building.

In particular DCAF continued to cooperate with the NATO Parliamentary Assembly (NATO-PA), the NATO Liaison Office in Ukraine (NLO) (see Section II NIS Ukraine), and the NATO Trust Fund team on Integrity Building Issues – (see Section III Global Issues - Integrity Building).

NATO Parliamentary Assembly (NATO-PA)

Cooperation with the NATO-PA was sustained throughout 2013 across several activity areas.

DCAF participated in the 83rd and 84th NATO Parliamentary Rose Roth Seminars (see Section II NIS Georgia and Kyrgyzstan respectively), and the joint Rose-Roth, Mediterranean and Middle East Group Special (GSM) and Sub Committee on East-West Economic Co-operation and Convergence (ESCEW) seminar on 'Political Changes In North Africa' (April). DCAF also participated in the Annual Session in Dubrovnik, Croatia (October).

In 2013, DCAF sustained cooperation with the NATO-PA Orientation Programme for newly elected MPs, a programme formerly known as the 'New Parliamentarians Programme' and a long term cooperation platform with NATO-PA. DCAF contributed to two capacity-building seminars for the parliaments of the Georgia and Armenia.

The updated edition of the NATO-PA – DCAF Handbook on *Oversight and Guidance: The Relevance of Parliamentary Oversight for the Security Sector* was published in Arabic and French.

DCAF presented the paper *Involvement of Parliaments in Advancing the 'Women, Peace and Security' Agenda in NATO Member Countries*¹ to the Committee on the Civil Dimension of Security at the NATO Parliamentary Assembly (NATO PA) Annual Session in October in Dubrovnik, Croatia. The paper was commissioned by NATO PA to DCAF in order to produce an analytical synthesis of the results of the second iteration of a survey to member countries' delegations on national implementation of United Nations Security Council Resolution 1325 on women, peace and security.

NATO/PfP - Integrity Building

See Section III Global Initiatives - Integrity Building.

NATO/PfP & Gender

Integration of gender perspective into NATO's structure and operations remained an important area of DCAF's work with the organisation in 2013. DCAF provided expert speakers for three NATO conferences on the topic and contributed actively to a group of subject matter experts convened by NATO Allied Command Transformation to develop an introductory advanced distributed learning course on gender awareness for NATO and partner countries.

As chair of the SSR Working Group of the Partnership for Peace Consortium of Defence Academies and Security Studies Institutes (PfPC), DCAF convened a workshop on integrating gender in military curricula in Geneva in December. This workshop marked DCAF's continued, and deepening, engagement on the topic of gender in military education and training within the framework of the PfPC. DCAF also provided expertise on gender, diversity, and human rights to NATO and the PfPC in developing a NATO manual for the professional military education of non-commissioned officers.

¹ <http://www.dcaf.ch/Publications/Involvement-of-Parliaments-in-Advancing-the-Women-Peace-and-Security-Agenda-in-NATO-Member-Countries>

Key Results

Sustained cooperation with NATO Parliamentary Assembly on parliamentary capacity building;

Continued to build capacity and contribute to producing resources for professional military training and education on gender and SSR for NATO and partner countries;

Produced the analytical paper *Involvement of Parliaments in Advancing the ‘Women, Peace and Security’ Agenda in NATO Member Countries*²;

Implemented a NATO mandate on professional development workshops facilitating building integrity programming in South East European Defence Ministerial Process (SEDM) states;

Translated *Building Integrity and Reducing Corruption in Defence: A Compendium of Best Practices* into Azeri, Georgian, and Serbian;

Diversified and intensified cooperation with multiple actors via the Building Integrity platform.

² <http://www.dcaf.ch/Publications/Involvement-of-Parliaments-in-Advancing-the-Women-Peace-and-Security-Agenda-in-NATO-Member-Countries>

II. Regional Cooperation

New Independent States (NIS)

As in previous years, most of DCAF's activities in the New Independent States region beyond OSCE, CSTO or NATO frameworks were conducted on a bilateral basis. The majority of these projects were implemented in Armenia, Georgia, Kyrgyzstan and Ukraine.

Notably, the year featured close cooperation with the OSCE Office in Yerevan on a range of security governance issues.

During 2013 DCAF established a substantial cooperation framework with the State Great Khural (Parliament) of Mongolia, culminating in a substantive activity week of trainings and hearings with the Parliament, security sector and NGO representatives at the end of the year.

During the year, DCAF also published the English version of *The Shanghai Cooperation Organisation and Central Asia's Security Challenges*³ and *The Collective Security Organisation: 2002-2012*.⁴

Armenia	<ul style="list-style-type: none">• Subsequent to the formulation of a tripartite annual cooperation plan with the Parliament of Armenia and the OSCE Office in Yerevan in late 2012, in cooperation with the Defence, National Security and Internal Affairs Committee and the OSCE Office, DCAF co-organised a series of capacity development events for parliamentarians and parliamentary staff. Security sector institutions, personnel and civil society organizations were also incorporated into the related events. These comprised parliamentary hearings on:<ul style="list-style-type: none">• “Civil-Military Relations in Democratic Societies” and “Police Reform and Enhancing Public Trust in Law Enforcement Agencies”, incorporating a training session for parliamentary staff on security governance issues (April)• ‘Transparency in Defence Management’ (including the Minister of Defence), ‘The Role of Parliament in Defence Procurement’, ‘Defence Institution Building and Integrity in Defence Management’, also featuring roundtables on ‘Police Reform in Armenia’ and ‘The Management of Military Policing’• ‘Human Rights in the Armed Forces’ (December)
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³ <http://www.dcaf.ch/Publications/The-Shanghai-Cooperation-Organisation-and-Central-Asia-s-Security-Challenges>

⁴ <http://www.dcaf.ch/Publications/Collective-Security-Treaty-Organisation-2002-2012>

	<ul style="list-style-type: none"> • Participated in an OSCE Office in Yerevan – Republic of Armenia Police facilitated international conference on ‘Fighting Corruption and Building Integrity and Accountability in Policing’ (October) • Launched the Armenian language version of the Toolkit on Police Integrity following translation and publication by the OSCE Office in Yerevan (October).⁵ • Armenian language version of Defence Management: An Introduction translated and published by OSCE Office in Yerevan.⁶ • Co-facilitated a visit by mid-level officials from Armenia to Geneva and Berne to familiarise the Armenian Military Police with the experiences of Swiss counterparts and to provide best practices in strategies, policies and reform programme implementation. The delegation visited the DCAF Head offices, the Swiss Police Institute and Military Security. (May)
Azerbaijan	<ul style="list-style-type: none"> • Published and widely distributed the Azeri version of the Compendium on Building Integrity and Reducing Corruption in Defence.⁷
Georgia	<ul style="list-style-type: none"> • Co-organised with the Parliament and Ministry of Defence of Georgia a two-day roundtable on ‘Transparency in Defence Management’, including preliminary results of a survey on parliamentary powers and security governance in Georgia. • Georgian language version of Defence Management: An Introduction launched and distributed.⁸ • Facilitated and participated in an OSCE ODIHR/ Defence and Security Committee of the Parliament of Georgia/Ministry of Defence of Georgia Orientation Event on “Oversight, Human Rights and Gender in the Armed Forces”. • Launched the regional versions of the Compendium on Building Integrity and Reducing Corruption in Defence at the 83rd NATO Parliamentary Assembly Rose Roth Seminar on “The South Caucasus: Geopolitics, Euro-Atlantic Integration and Domestic Challenges” and also distributed the Georgian version of the publication.⁹

⁵ <http://www.dcaf.ch/Publications/Toolkit-on-Police-Integrity>

⁶ <http://www.dcaf.ch/Publications/Defence-Management>

⁷ <http://www.dcaf.ch/Publications/Building-Integrity-and-Reducing-Corruption-in-Defence>

⁸ <http://www.dcaf.ch/Publications/Defence-Management>

⁹ <http://www.dcaf.ch/Publications/Building-Integrity-and-Reducing-Corruption-in-Defence>

	<ul style="list-style-type: none"> • Participated in a NATO Liaison Office roundtable on “Democratic Oversight of the Security Sector”. • Initiated a review of Georgia’s Security Sector Laws, due for publication in 2014. • Initiated a review of Parliamentary Oversight in Georgia. • Translated and prepared for publication in 2014 the Georgian version of Ombuds Institutions for the Armed Forces: A Handbook.¹⁰
Kazakhstan	<ul style="list-style-type: none"> • Participation in the OSCE Centre in Astana and Kazakhstan Institute for Security Studies (KISI) conference on ‘The Eurasian Security Community and the Role of the OSCE’. • Facilitated meetings between the Chairman of the Parliament of Kazakhstan’s Committee on Foreign Affairs, Defense and Security of the Senate of the Parliament of the Republic of Kazakhstan and the Head of the Inter-Parliamentary Cooperation Group “Switzerland-Kazakhstan” of the Federal Assembly of Switzerland. • Initiated translations on Transforming Police in Central and Eastern Europe¹¹ and Parliamentary Powers in Security Sector Governance¹².
Kyrgyzstan	<ul style="list-style-type: none"> • Published and launched the <i>Almanac on Security Sector Reform in the Kyrgyz Republic</i>.¹³ • Organised a two-day roundtable on “Lessons Learned in Defence and Security Sector Reform” with the Secretariat of the Defence Council of the Kyrgyz Republic. • Under the auspices of the Defence Council, co-organised a high-level meeting on ‘Fighting Corruption’ for defence officials and civilian professionals. • Contributed to NATO Parliamentary Assembly’s 84th Rose Roth Seminar in Bishkek on “Afghanistan, Regional Security and the Future of International Cooperation”, chairing and contributing to the session on “Kyrgyzstan’s Defence and Security Policy Priorities”.

¹⁰ <http://www.dcaf.ch/Publications/Ombuds-Institutions-for-the-Armed-Forces-A-Handbook>

¹¹ <http://www.dcaf.ch/Publications/Transforming-Police-in-Central-and-Eastern-Europe>

¹² <http://www.dcaf.ch/Publications/Parliamentary-Powers-in-Security-Sector-Governance>

¹³ <http://www.dcaf.ch/Publications/Almanac-on-Security-Sector-Reform-in-the-Kyrgyz-Republic>

	<ul style="list-style-type: none"> Coordinated with OSCE Centre in Bishkek on a range of issues, particularly on potentially establishing the “Situation Room” for the Office of the Prime Minister of Kyrgyzstan. Hosted a meeting of civilian crisis-management professionals in the context of the planned “Situation Room” for the Office of the Prime Minister of Kyrgyzstan. Publication of a review of the <i>Security Sector Legislation in the Kyrgyz Republic: A Review</i>¹⁴
Moldova	<ul style="list-style-type: none"> Translation of the Compendium on <i>Building Integrity and Reducing Corruption in Defence</i>¹⁵ published. Translation and finalization of the <i>Toolkit on Police Integrity</i>, due for publication in 2014.¹⁶ Began the translation of <i>Ombuds Institutions for the Armed Forces: A Handbook</i>¹⁷ and <i>Overseeing Intelligence Services: A Toolkit</i>.¹⁸
Mongolia	<ul style="list-style-type: none"> Roundtable with the State Great Khural on Security and Justice Reform with the parliament’s Working Group on Security Sector Reform, with members of the Defence and Foreign Affairs Committees, and security and justice institutions (February). Fact-finding visit of by members of the Working Group on Security Sector Reform and justice and security sector institutions to DCAF and Swiss institutions focused on security governance programming and the roles and responsibilities of security sector actors, particularly in terms of oversight of the law enforcement and intelligence sectors (April). Roundtable with the State Great Khural on the ‘Roles and Responsibilities of Parliamentary Committees in Security Sector Oversight and Security Sector Governance’ for parliamentarians and parliamentary staff. (November) Roundtable with the General Intelligence Agency on ‘Legislative Oversight of Intelligence Services’ (November). Roundtable for NGOs with the State Great Khural and Open Society Forum Mongolia on the ‘Role of Civil Society Organisations in Security Sector Governance’ (November).

¹⁴ <http://www.dcaf.ch/Publications/Security-Sector-Legislation-in-the-Kyrgyz-Republic-A-Review>

¹⁵ <http://www.dcaf.ch/Publications/Building-Integrity-and-Reducing-Corruption-in-Defence>

¹⁶ <http://www.dcaf.ch/Publications/Toolkit-on-Police-Integrity>

¹⁷ <http://www.dcaf.ch/Publications/Ombuds-Institutions-for-the-Armed-Forces-A-Handbook>

¹⁸ <http://www.dcaf.ch/Publications/Overseeing-Intelligence-Services-A-Toolkit>

Tajikistan	<ul style="list-style-type: none"> • Re-publication and public launch of <i>Parliamentary Oversight of the Security Sector: Principles, Mechanisms and Practices</i> in Tajik.¹⁹ • Publication of <i>Security Sector Legislation in the Republic of Tajikistan: A Review</i>²⁰ • Initiated translations of <i>Understanding Military Justice</i>²¹, <i>Amnesty International – 10 Basic Human Rights Standards for Law Enforcement Officials, Comments on the OSCE Code of Conduct, OSCE Guidebook on Democratic Policing, and European Police Code of Ethics</i>.
Ukraine	<ul style="list-style-type: none"> • Co-organised and facilitated with a Razumkov Centre international conference on Defence and Security Sector Reform in Ukraine for government and NGO experts (February). • Co-organised with the NATO Liaison Office, NATO Information and Documentation Centre, a conference on ‘Cooperation in Human Rights and State Security’ for the Security Service of Ukraine, National Institute for Strategic Studies, the National Technical University of Ukraine and the Kyiv Polytechnic Institute (April). • Publication of the <i>Almanac on Security Governance in Ukraine</i> produced in cooperation with the Razumkov Centre (February) with a formal launch in July.²² • Participation in the <i>Kiev Security Forum</i> and the Task Force formulating recommendations on behalf of the government of Ukraine (April). • Co-organised a roundtable with the National Institute for Strategic Studies and NATO Liaison Office on ‘Issues of Military-Technical Policy of Ukraine During Security Sector Transformation’ focused on practical cooperation issues with NATO partner countries. (May) • Publication of <i>Challenges Facing Arms Control Export in Ukraine and the Russian Federation</i>²³ in Russian. • Capacity development workshop with MoD Personnel, the National Security Council, and Directorate of Audit Services focused on Building Integrity issues (September). • DCAF also participated in and contributed to a number of events throughout the year, including:

¹⁹ <http://www.dcaf.ch/Publications/Parliamentary-Oversight-of-the-Security-Sector>

²⁰ <http://www.dcaf.ch/Publications/Security-Sector-Legislation-in-the-Republic-of-Tadikistan-a-Review>

²¹ <http://www.dcaf.ch/Publications/Guidebook-Understanding-Military-Justice>

²² <http://www.dcaf.ch/Publications/Almanac-on-Security-Sector-Governance-in-Ukraine-2012>

²³ <http://www.dcaf.ch/Publications/Challenges-Facing-Arms-Export-Control-in-Ukraine-and-the-Russian-Federation>

	<ul style="list-style-type: none"> • Conference on ‘Internal Security Sector Governance in Ukraine’ focused on Ukraine-EU-NATO cooperation platforms (September). • ‘Strategic Assessment of the International Environment’ at the Diplomatic Academy • The Razumkov Centre’s international conference on ‘The Role of International Organisations in the National Security of Ukraine’ at the Diplomatic Academy (September). • Conference on ‘Eurasian Security Community and the Role of the OSCE’ (October). • Thematic Seminar for Civil Servants on ‘Drafting National Security Documents’, focused on strategic planning (October). • Security Service of Ukraine – NATO discussions on ‘Ukraine-NATO Cyber Defence Expert Staff Talks’ (November). • NATO Liaison Office -facilitated conference on ‘Reforming Law Enforcement Bodies: The European Experience’ (November). • Publication of the English version of the <i>Security Sector Legislation of Ukraine</i>²⁴ on behalf of the parliament and international partners.
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Key Results

Intensified cooperation with Armenia’s democratic institutions, security sector agencies and civil society organizations;

Publication and distribution of the Azeri version of the Compendium on Building Integrity and Reducing Corruption in Defence;

Developed cooperation with Georgia’s democratic institutions, security sector agencies and civil society organizations;

Initiated cooperation with the Parliament of Kazakhstan’s Committee on Foreign Affairs, Defense and Security of the Senate of the Parliament of the Republic of Kazakhstan and the Inter-Parliamentary Cooperation Group “Switzerland-Kazakhstan” of the Federal Assembly of Switzerland

²⁴ <http://www.dcaf.ch/Publications/Security-and-Defence-Sector-Legislation-of-Ukraine>

Initiated cooperation with the Defence Council of the Kyrgyz Republic;

Translations into Moldovan of several DCAF handbooks (BI, Police, Ombuds institutions)

Initiated intensive cooperation with Mongolia's democratic institutions, security sector institutions and civil society organizations;

Translations into Tajik and publications of several key DCAF and OSCE documents

Maintained cooperation with Ukrainian institutions on defence and security sector oversight and reform issues;

Sustained cooperation with security governance stakeholders in the Caucasus and Central Asia at national and regional levels, as well as Ukraine at the national level;

III. Global Issues

Building Integrity

During 2013 DCAF continued its substantive involvement in Building Integrity and Anti-Corruption initiatives in the defence sphere.

During the year, *Building Integrity and Reducing Corruption in Defence: A Compendium of Best Practices* translations were published in Azeri, French, Georgian, and Serbian. Translations into Bosnian and Croatian were also initiated.

In the framework of ongoing cooperation with NATO and a contract funded by NATO's Building Integrity Trust Fund, in December 2012 DCAF contributed to the launch of the Integrity Building Self-Assessment process in SEDM states at NATO HQ. DCAF was then contracted by NATO to facilitate a two year process through a series of capacity building activities for the national teams entrusted with the self-assessment process.

The associated “Building Integrity Tailored Programme for South Eastern Europe (SEE)” was rolled out in South East Europe during 2013. Events comprised Professional Development Workshops on ‘Building integrity Tailored Programme for South Eastern Europe’ in Sarajevo (March), Sofia (April), and a third in Belgrade (June) on ‘Building Integrity in Defence Budgeting and Finance’.

The first workshop aimed to improve the capacity of experts across the defence sector for understanding corruption, organising trustful self-assessment of corruption risks, as well as for designing and implementing defence integrity strategy and programme. The second aimed to upgrade the knowledge and skills acquired during the first workshop and broadening in-depth understanding of defence management processes and anti-corruption measures. The third workshop analysed the processes of financing and budgeting of defence in terms of prevention of corruption.

Discussions about the follow-on programme for 2014 commenced in late 2013.

Key Results

Implemented a NATO mandate to implement professional development workshops facilitating building integrity programming in South East European Defence Ministerial Process (SEDM) states;

Translated *Building Integrity and Reducing Corruption in Defence: A Compendium of Best Practices* into Azeri, Georgian, and Serbia;.

Diversified and intensified cooperation with multiple actors via the Building Integrity platform.

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